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REJECTION OVER A PENDING "REFERENCE" APPLICATION**

Docket Number (Optional)

NTIC-F-CON-CIP (NTICPO113E)

In re Application of: Donald A. Kubik, et al.

Application No.: 10/676,752

Filed: 10/01/2003

For: CORROSION INHIBITING COMPOSITION AND ARTICLE CONTAINING IT

The owner, Northern Technologies International Corp., of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of any patent granted on pending reference Application Number 10/676,760, filed on 10/01/2003, as such term is defined in 35 U.S.C. 154 and 173, and as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

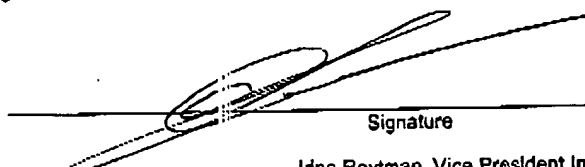
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Check either box 1 or 2 below, if appropriate.

1. ☒ For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2. ☐ The undersigned is an attorney or agent of record. Reg. No. \_\_\_\_\_

  
\_\_\_\_\_  
Signature  
Idna Roytman, Vice President International Coordinator  
\_\_\_\_\_  
Typed or printed name

10/06/06  
\_\_\_\_\_  
Date

(216) 595-1740 ext 15  
\_\_\_\_\_  
Telephone Number

- ☒ Terminal disclaimer fee under 37 CFR 1.20(d) is included.

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\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).  
Form PTO/SB/96 may be used for making this statement. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

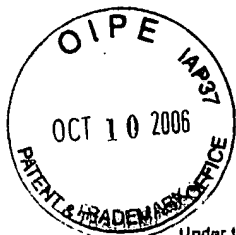
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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Northern Technologies International Corporation

Application No./Patent No.: 10/676,752 Filed/Issue Date: 10/01/2003

Entitled: CORROSION INHIBITING COMPOSITION AND ARTICLE CONTAINING IT

Northern Technologies International Corporation, a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. [☒] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 016740, Frame 0931, or for which a copy thereof is attached.

OR

B. [☐] A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as shown below:

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The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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[☐] Additional documents in the chain of title are listed on a supplemental sheet.

[☒] Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

October 6, 2006  
Date

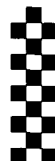
216-595-1740  
Telephone number

Irina Roytman  
Typed or printed name

[Signature]  
Signature  
Vice President International Coordinator  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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113-B

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DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 07, 2005

PTAS



\*103035889A\*

LOBO & CO., L.P.A.  
ALFRED D. LOBO, ESQ.  
933 THE LEADER BUILDING  
526 SUPERIOR AVENUE  
CLEVELAND, OH. 44114-1902

*Ser. No. 10/676,752*

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RECORDATION DATE: 07/05/2005

REEL/FRAME: 016740/0931

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KUBIK, DONALD A.

DOC DATE: 06/21/2005

ASSIGNOR:

VARSHAL, BORIS

DOC DATE: 06/21/2005

ASSIGNOR:

LYUBLINSKI, EFIM YA.

DOC DATE: 06/14/2005

ASSIGNOR:

NYGAARD, BARBARA A.

DOC DATE: 06/14/2005

ASSIGNEE:

NORTHERN TECHNOLOGIES  
INTERNATIONAL CORPORATION  
23205 MERCANTILE ROAD  
BEACHWOOD, OHIO 44122

016740/0931 PAGE 2

SERIAL NUMBER: 10676752

FILING DATE: 10/01/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: CORROSION INHIBITING COMPOSITION AND ARTICLE CONTAINING IT

SHARON BROOKS, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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103035889

7-5-05

1. Name of Conveying Party (ies): Donald A. Kubik Boris Varshal Efim Ya. Lyublinski Barbara A. Nygaard	2. Name and address of receiving party: Name: Northern Technologies International Corporation Internal Address: Street Address: 23205 Mercantile Road City: Beachwood State: OHIO 44122
--	---

## 3. Nature of Conveyance:

ASSIGNMENT

Execution Dates: 06/14/05 &amp; 06/21/05

## 4. Application number: Ser. No. 10/676,752 executed on 09/23/03

If this document is being filed with a new application, the execution date of the application is:

## A. Patent Application No.(s):

## B. Patent No.(s):

## 5. Name and address of party to whom correspondence concerning this document is to be mailed:

Alfred D. Lobo, Esq  
LOBO & CO., L.P.A.  
933 The Leader Building  
526 Superior Avenue  
Cleveland, OH. 44114-1902

## 6. Total no. of applns. &amp; patents: 1

7. Fee (37 C.F.R. 3.41): \$ 40.00


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## 9. Statement and Signature.

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ALFRED D. LOBO  
Reg. No. 24,109

Date:

28 June 2005

40E

Total no. of pages including cover sheet, attachments and document: 1

07/06/2005 ECDOPER 00000150 10676752

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40.00 DP

ASSIGNMENT

WHEREAS, WE, Donald Alfons Kubik; Boris Varshal; Efim Ya. Lyublinski; and Barbara Ann Nygaard have made a certain invention described in an application for letters patent, executed by us, on 23 September 2003, entitled

TARNISH-INHIBITING COMPOSITION AND ARTICLE CONTAINING IT  
filed on 01 October 2003 in the U.S.P.T.O as Serial No. 10/676,760

NOW, for good and valuable considerations, the receipt of which is hereby acknowledged, we hereby sell, assign and transfer unto NORTHERN TECHNOLOGIES INTERNATIONAL CORPORATION, a corporation organized and existing under the laws of the State of Delaware, and having its principal office located at 23205 Mercantile Road, Beachwood, Ohio 44122, its successors, legal representatives and assigns, the entire right, title and interest in, to and under said invention and application and any letters patent of the United States which may be issued thereon, together with all reissues of said letters patent, all divisions and continuations of said application, and all application and patent rights for said invention in foreign countries; and we agree to execute all further papers and do all further acts appropriate fully to vest in said NORTHERN TECHNOLOGIES INTERNATIONAL CORPORATION the rights herein conveyed.

Inventor



Donald Alfons Kubik

OHIO

STATE OF ~~MINNESOTA~~ )  
~~CUYAHOGA~~ )  
 COUNTY OF ~~ANOKA~~ )

Before me personally appeared the person above named, who produced proper identification to me, and acknowledged that he executed the foregoing instrument as his own free act and deed this 21<sup>st</sup> day of June 2005.

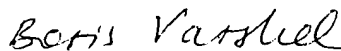


Notary Public

SEAL

ALFRED O. LEE, Attorney at Law  
 Notary Public - State of Ohio  
 My commission has no expiration date.  
 Section 147.03 R. C.

Inventor



Boris Varshal

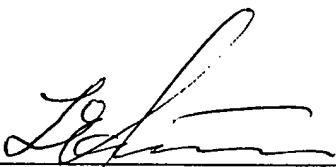
OHIO

STATE OF ~~MASSACHUSETTS~~ )  
~~CUYAHOGA~~ )  
 COUNTY OF ~~ESSEX~~ )

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\_\_\_\_\_  
Notary Public

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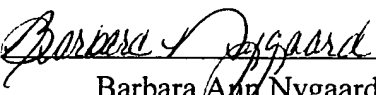
Inventor   
\_\_\_\_\_  
Efim Ya. Lyubinski

STATE OF OHIO                     )  
COUNTY OF CUYAHOGA        )

Before me personally appeared the person above named, who produced proper identification to me, and acknowledged that he executed the foregoing instrument as his own free act and deed this 14 day of June 2005.


  
\_\_\_\_\_  
Notary Public

SEAL

Inventor   
\_\_\_\_\_  
Barbara Ann Nygaard

OHIO  
STATE OF ~~MINNESOTA~~        )  
                  CUYAHOGA        )  
COUNTY OF ~~ANOKA~~        )

Before me personally appeared the person above named, who produced proper identification to me, and acknowledged that she executed the foregoing instrument as her own free act and deed this 21<sup>st</sup> day of June 2005.

  
\_\_\_\_\_  
Notary Public

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